

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8405611
<b>Application Number:</b>	09755591
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3838
<b>Title of Invention:</b>	Systems and methods for monitoring credit of trading couterparties
<b>First Named Inventor/Applicant Name:</b>	Stewart Harris
<b>Customer Number:</b>	63710
<b>Filer:</b>	Mark Adam Miller/David Postolski
<b>Filer Authorized By:</b>	Mark Adam Miller
<b>Attorney Docket Number:</b>	00-1012
<b>Receipt Date:</b>	13-SEP-2010
<b>Filing Date:</b>	05-JAN-2001
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	2338
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	00-1012_100913_ID5.pdf	39492	no	4
			531d1c260c287325100582a3f58da2b7b748f0		

### Warnings:

### Information:

2	NPL Documents	00-1012WOCA_091208_Office Action.pdf	186020	no	3
			a3132f316616d87e5d44ce190d458e88ccdf796c		

### Warnings:

### Information:

3	Information Disclosure Statement (IDS) Filed (SB/08)	00-1012_100913_1449.pdf	24711	no	1
			48ca32e4b0335013564a813f274308e176c22f3d3f7		

### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

4	Fee Worksheet (PTO-875)	fee-info.pdf	29919	no	2
			7e6a5d7d8f27a8d5801f6557b0e65a26d43650		

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Total Files Size (in bytes):	280142
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission is being filed and the international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.